

Information Retrieval

Tutorial 1: Boolean Retrieval

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Outline

1 Review

2 Exercises

Definition of *information retrieval*

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- Recall : Fraction of relevant docs in collection that are retrieved

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- Queries are Boolean expressions, e.g., CAESAR AND BRUTUS
- The search engine returns all documents that satisfy the Boolean expression.

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	Doc1	Doc2	Doc3	Doc4	Doc5	...
TERM1	1	1	0	0	0	
TERM2	1	1	0	1	0	
TERM3	1	1	0	1	1	
TERM4	0	1	0	0	0	
TERM5	1	0	0	0	0	

...

Entry is 1 if term occurs.

Inverted Index

For each term t , we store a list of all documents that contain t .

TERM1 →

1	2	4	11	31	45	173	174
---	---	---	----	----	----	-----	-----

TERM2 →

1	2	4	5	6	16	57	132	...
---	---	---	---	---	----	----	-----	-----

TERM3 →

2	31	54	101
---	----	----	-----

⋮


dictionary


postings

Inverted index construction

- 1 Collect the documents to be indexed:

Friends, Romans, countrymen.	So let it be with Caesar	...
------------------------------	--------------------------	-----
- 2 Tokenize the text, turning each document into a list of tokens:

Friends	Romans	countrymen	So	...
---------	--------	------------	----	-----
- 3 Do linguistic preprocessing, producing a list of normalized tokens, which are the indexing terms:

friend	roman	
countryman	so	...
- 4 Index the documents that each term occurs in by creating an inverted index, consisting of a dictionary and postings.

Intersecting two postings lists

TERM1 \rightarrow $\boxed{1} \rightarrow \boxed{2} \rightarrow \boxed{4} \rightarrow \boxed{11} \rightarrow \boxed{31} \rightarrow \boxed{45} \rightarrow \boxed{173} \rightarrow \boxed{174}$

TERM2 \rightarrow $\boxed{2} \rightarrow \boxed{31} \rightarrow \boxed{54} \rightarrow \boxed{101}$

Intersection \Rightarrow $\boxed{2} \rightarrow \boxed{31}$

- This is linear in the length of the postings lists.
- Note: This only works if postings lists are sorted.

Intersecting two postings lists

```
INTERSECT( $p_1, p_2$ )
1  answer  $\leftarrow \langle \rangle$ 
2  while  $p_1 \neq \text{NIL}$  and  $p_2 \neq \text{NIL}$ 
3  do if  $\text{docID}(p_1) = \text{docID}(p_2)$ 
4      then  $\text{ADD}(\text{answer}, \text{docID}(p_1))$ 
5           $p_1 \leftarrow \text{next}(p_1)$ 
6           $p_2 \leftarrow \text{next}(p_2)$ 
7      else if  $\text{docID}(p_1) < \text{docID}(p_2)$ 
8          then  $p_1 \leftarrow \text{next}(p_1)$ 
9          else  $p_2 \leftarrow \text{next}(p_2)$ 
10 return answer
```

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Question1

Consider these documents:

Doc1 breakthrough drug for schizophrenia

Doc2 new schizophrenia drug

Doc3 new approach for treatment of schizophrenia

Doc4 new hopes for schizophrenia patients

- draw the term-document incidence matrix for this document collection
- draw the inverted index representation for this collection.
- what are the returned results for these queries:
 - schizophrenia AND drug
 - for AND NOT(drug OR approach)

Solution:1.a

	Doc1	Doc2	Doc3	Doc4
approach	0	0	1	0
breakthrough	1	0	0	0
drug	1	1	0	0
for	1	0	1	1
hopes	0	0	0	1
new	0	1	1	1
of	0	0	1	0
patients	0	0	0	1
schizophrenia	1	1	1	1
treatment	0	0	1	0

Solution:1.b

approach	→	3
breakthrough	→	1
drug	→	1 → 2
for	→	1 → 3 → 4
hopes	→	4
new	→	2 → 3 → 4
of	→	3
patients	→	4
schizophrenia	→	1 → 2 → 3 → 4
treatment	→	3

Solution:1.c

schizophrenia \longrightarrow $\boxed{1} \rightarrow \boxed{2} \rightarrow \boxed{3} \rightarrow \boxed{4}$

drug \longrightarrow $\boxed{1} \rightarrow \boxed{2}$

AND \longrightarrow $\boxed{1} \rightarrow \boxed{2}$

Solution:1.c

for \longrightarrow $\boxed{1} \rightarrow \boxed{3} \rightarrow \boxed{4}$

approach \longrightarrow $\boxed{3}$

drug \longrightarrow $\boxed{1} \rightarrow \boxed{2}$

for AND NOT(drug OR approach) \longrightarrow $\boxed{4}$

Question 2

Recommend a query processing order for

(tangerine OR trees) AND (marmalade OR skies) AND (kaleidoscope OR eyes)

given the following postings list sizes:

Term	Postings size
eyes	213312
kaleidoscope	87009
marmalade	107913
skies	271658
tangerine	46653
trees	316812

Solution 2

First we approximate the OR operator with the sum of the frequencies and then execute the query from lowest frequency to highest.

(kaleidoscope OR eyes) (300,321) AND (tangerine OR trees)
(363,465) AND (marmalade OR skies) (379,571)

Question 3

Write out a postings merge algorithm for an x OR y query

Solution 3

```
UNION( $p_1, p_2$ )
1   $answer \leftarrow \langle \rangle$ 
2  while  $p_1 \neq \text{NIL}$  and  $p_2 \neq \text{NIL}$ 
3  do if  $docID(p_1) = docID(p_2)$ 
4      then  $\text{ADD}(answer, docID(p_1))$ 
5           $p_1 \leftarrow next(p_1) p_2 \leftarrow next(p_2)$ 
6      else if  $docID(p_1) < docID(p_2)$ 
7          then  $\text{ADD}(answer, docID(p_1))$ 
8               $p_1 \leftarrow next(p_1)$ 
9          else  $\text{ADD}(answer, docID(p_2))$ 
10              $p_2 \leftarrow next(p_2)$ 
11 while  $p_1 \neq \text{NIL}$ 
12 do  $\text{ADD}(answer, docID(p_1))$ 
13      $p_1 \leftarrow next(p_1)$ 
14 while  $p_2 \neq \text{NIL}$ 
15 do  $\text{ADD}(answer, docID(p_2))$ 
16      $p_2 \leftarrow next(p_2)$ 
17 return  $answer$ 
```